

## Fraud Questionnaire

Please provide a response to each of the questions below. This information will be used during our pre-examination planning process.

1. Has the Company, Company Management or Independent CPA identified any risk of fraud or known fraudulent activity perpetrated on or within the Company?
2. Is the Company maintaining compliance with Federal Anti-money Laundering requirements in compliance with the USA Patriot Act?
3. Has the Company established anti-fraud initiatives reasonably calculated to detect, prosecute & prevent fraudulent insurance acts, are these advertised and promoted to company's insureds as applicable? Has the Company established a program for awareness, prevention, detection and reporting of fraud within the Company?
4. Has the Company established a procedure to report fraudulent insurance acts to the Commissioner of Insurance in a manner prescribed by the Commissioner?